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03945 USPTO 10/663530 09/15/03

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	RU-0177-CON
First Inventor	Chi-Tang Ho
Title	Compositions and Methods
Express Mail Label No.	EV080674468US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:
Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification [Total Pages 9] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Form (CRF)
4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets _____]	b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper
5. Oath or Declaration [Total Sheets 10] a. <input type="checkbox"/> Newly executed (original or copy)	c. <input type="checkbox"/> Statements verifying identity of above copies
b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	ACCOMPANYING APPLICATION PARTS
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) <input type="checkbox"/> Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 <input type="checkbox"/> Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input checked="" type="checkbox"/> Other: Transmittal letter.....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No. 10/079,038.....

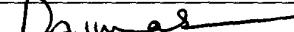
Prior application information:

Examiner Deborah K. Ware

Art Unit: 1651

For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number:	OR <input checked="" type="checkbox"/> Correspondence address below		
Name	William J. McNichol, Jr.		
Address	Reed Smith LLC 2500 One Liberty Place, 1650 Market Street		
City	Philadelphia	State	Pennsylvania
Country	U.S.	Telephone	215-851-8100
Telephone	215-851-1420	Fax	
Name (Print/Type)	Nanda P.B.A. Kumar	Registration No. (Attorney/Agent)	44,853
Signature			Date
			September 15, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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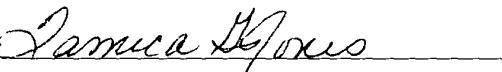
EXPRESS MAIL CERTIFICATE (37 CFR 1.10)

Express Mail Label No. EV080674468 US

Date of Deposit September 15, 2003

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Name: Tamica Gatling-Jones

Signature 

Attorney Docket No. RU-0177-CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Chi-Tang Ho et al

Serial No.: Not Yet Assigned

Filed: Herewith

Group Art Unit No.: Not Yet Assigned

Examiner: Not Yet Assigned

For: COMPOSITIONS AND METHODS FOR CANCER PREVENTION AND
TREATMENT DERIVED FROM INULA BRITANNICA

Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL

Dear Sir

The following documents are submitted herewith:

- 1) Utility Patent ApplicationTransmittal Letter;
- 2) Fee Transmittal;
- 3) Nine (9) page utility patent application including the specification, claims and abstract;
- 4) Copy of Declaration and Power of Attorney from prior application serial number 10/079,038 filed February 20, 2002;
- 5) A check in the amount of \$ 375.00; and
- 6) Return postcard.

The Commissioner is hereby authorized to charge any deficiency in the enclosed amount or credit any overpayment to Deposit Account No. 18-0586.

Respectfully submitted,



Date: September 15, 2003

William J. McNichol, Jr.
Registration No. 31,179
Nanda P.B.A. Kumar
Registration No. 44,853
Attorneys for Applicant

REED SMITH SHAW & McCLAY
2500 One Liberty Place
1650 Market Street
Philadelphia, Pennsylvania 19103-7301
Fax: (215) 851-1420
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(215 241-7950)
Nanda P.B.A. Kumar, Esq.
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1596 U.S.
09/15/03

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT **(\$)** 375.00

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Chi-Tang Ho
Examiner Name	Not Yet Assigned
Art Unit	Not Yet Assigned
Attorney Docket No.	RU-0177-CON

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account:

Deposit Account Number
18-0586

Rees Smith LLP

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Fee Code (\$)	Small Entity	Fee Code (\$)	Fee Description	Fee Paid
	1001	750	2001	375	Utility filing fee
	1002	330	2002	165	Design filing fee
	1003	520	2003	260	Plant filing fee
	1004	750	2004	375	Reissue filing fee
	1005	160	2005	80	Provisional filing fee
SUBTOTAL (1)		(\$) 375.00			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	9	-20** =	<input type="text"/>	X	<input type="text"/>	=	<input type="text"/>	Extra Claims	Fee from below	Fee Paid
Independent Claims	1	- 3** =	<input type="text"/>	X	<input type="text"/>	=	<input type="text"/>			
Multiple Dependent			<input type="text"/>	X	<input type="text"/>	=	<input type="text"/>			

Large Entity	Fee Code (\$)	Small Entity	Fee Code (\$)	Fee Description
	1202	18	2202	9 Claims in excess of 20
	1201	84	2201	42 Independent claims in excess of 3
	1203	280	2203	140 Multiple dependent claim, if not paid
	1204	84	2204	42 ** Reissue independent claims over original patent
	1205	18	2205	9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$)		

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
	1051	130	2051 65 Surcharge - late filing fee or oath	
	1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet	
	1053	130	1053 130 Non-English specification	
	1812	2,520	1812 2,520 For filing a request for ex parte reexamination	
	1804	920*	1804 920* Requesting publication of SIR prior to Examiner action	
	1805	1,840*	1805 1,840* Requesting publication of SIR after Examiner action	
	1251	110	2251 55 Extension for reply within first month	
	1252	410	2252 205 Extension for reply within second month	
	1253	930	2253 465 Extension for reply within third month	
	1254	1,450	2254 725 Extension for reply within fourth month	
	1255	1,970	2255 985 Extension for reply within fifth month	
	1401	320	2401 160 Notice of Appeal	
	1402	320	2402 160 Filing a brief in support of an appeal	
	1403	280	2403 140 Request for oral hearing	
	1451	1,510	1451 1,510 Petition to institute a public use proceeding	
	1452	110	2452 55 Petition to revive - unavoidable	
	1453	1,300	2453 650 Petition to revive - unintentional	
	1501	1,300	2501 650 Utility issue fee (or reissue)	
	1502	470	2502 235 Design issue fee	
	1503	630	2503 315 Plant issue fee	
	1460	130	1460 130 Petitions to the Commissioner	
	1807	50	1807 50 Processing fee under 37 CFR 1.17(q)	
	1806	180	1806 180 Submission of Information Disclosure Stmt	
	8021	40	8021 40 Recording each patent assignment per property (times number of properties)	
	1809	750	2809 375 Filing a submission after final rejection (37 CFR 1.129(a))	
	1810	750	2810 375 For each additional invention to be examined (37 CFR 1.129(b))	
	1801	750	2801 375 Request for Continued Examination (RCE)	
	1802	900	1802 900 Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **(\$)**

SUBMITTED BY		(Complete if applicable)		
Name (Print/Type)	Signature	Registration No. (Attorney/Agent)	Telephone	Date
Nanda P.B.A. Kumar		44,853	215-241-5666	September 15, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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